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Vidas, Arrett & Steinkraus	Atty. Docket No		O11.2B-11340-US01		
Utility Patent Applicati n First Inventor			OHNO, Koji, et al.		
Transmittal Title: POLISHING COMPOSITION					
Express Mail Label No. EL 992794992US					
Application Elements	Address To:		top Patent Application		
	Commissioner for Patents				
	P.O. Box 1450 Alexandria, VA 22313-1450				
1.  See Transmittal Form	<u> </u>	_ Alexai	Pages 1		
☐ Check Included			. agoo .		
Applicant claims small entity status					
3. Specification (including -0-pg co		n,	Pages 22		
4 pg claims and 1 pg abstract)					
4. 🛛 Drawings			Pages 1		
5. Oath or Declaration			Pages		
a. Newly executed (original or copy)					
	b. Copy from a prior application (37 CFR 1.63(d)  (for continuation/divisional with Box 19 completed)				
			Pages		
<ul> <li>i. ☐ Deletion of Inventor(s) – signed statement attached</li> <li>Pages</li> <li>deleting inventors named in the prior application</li> </ul>					
6. Application Data Sheet			Pages 3		
7. Assignment Papers (cover sheet & documents and check)			Pages		
☐ Previously recorded on , Reel , Frames					
8. Power of Attorney 37 C.F.R. 3.73(b) Statement (when there is an assignee)			Pages Pages		
9. English Translation Document	(when there is an assignee	,	Pages		
10. Information Disclosure Statemen	nt		Pages		
	rences)		. 2500		
11. Preliminary Amendment	,		Pages		
12. Return Receipt Postcard			Pages 1		
13. Certified Copy of Priority Docum	ent		Pages		
14. Nonpublication Request			Pages		
15. Constructive Petition			Pages 1		
16.  Limited Authorization			Pages Pages 1		
17. ☑ VAS Utility Patent Application Transmittal 18. □ Other			Pages		
19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and					
in a preliminary amendment or in an Application Data Sheet					
☐ Continuation ☐ Divisional ☐ Continuation-in-part Of prior application no.					
Prior Application Information: Examiner Group Art Unit					
The Application Information. Examinor Group Art Onit					
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath					
or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying					
continuation or divisional application and is hereby incorporated by reference.					
20. TOTAL NUMBER OF PAGES 30					
21. CORRESPONDENCE ADDRESS					
Customer Number: 00490					
Justonier Humber, 99499					
INSERT CUSTOMER NUMBER LABEL ABOVE					
Name   Scott Q. Vidas, Reg. No. 30,812					
Signature Date 9/30/2003					
WITT /V					
IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII					

Fee

1202

1201

1203

1204

1205

Fee

84

280

84

18

Fee

2202

2201

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2204

2205

Fee

140

42

Fee Description

original patent

over original patent

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

\*\* Reissue independent claims over

\*\* Reissue claims in excess of 20 and

SUBTOTAL (2) (\$) -0-

Submission of Information Disclosure

Recording of each patent assignment

Request for Continued Examination

Request for expedited examination of

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per property (times number of properties)

Filing a submission after final

rejection (37 CFR 1.129(a)) For each additional invention to be examined (37 CFR 1.129(b))

(RCE)

a design application

## Complete if Known FEE TRANSMITTAL Application Number Not Yet Assigned Concurrently Herewith Filing Date for FY 2003 First Named Inventor OHNO, Koji, et al. Patent fees are subject to annual revision. **Examiner Name** Not Yet Assigned Applicant claims small entity status. See CFR 1.27 Art Unit Not Yet Assigned O11.2B-11340-US01 TOTAL AMOUNT OF PAYMENT (\$) 750.00 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) Other 3. ADDITIONAL FEES ☐ Credit Card ☐ Money Order ⊠ Check ☐ Deposit Account: Entity Small Entity Fee Paid Fee Description Deposit Account Number: 22-0350 Code (\$) Code (\$) 65 Surcharge-late filing fee oath Deposit Account Name: Vidas, Arrett & Steinkraus 1051 130 2051 Surcharge - late provisional filing fee 25 1052 50 2052 The Commissioner is authorized to: (check all that apply) or cover sheet Non-English specification □ Credit any overpayments 1053 130 1053 130 ☐ Charge the fee(s) indicated below For filing a request for ex parte A Charge any additional fee(s) during the pendency of this application 1812 2,520 1812 2,520 Requesting publication of SIR prior to Examiner action Charge fee(s) indicated below, except for the filing fee to the above-920\* 9201 1804 1804 identified deposit account. Requesting publication of SIR after Examiner action 1,840\* 1805 1.8404 FEE CALCULATION 1805 Extension for reply within first month 2251 55 1. BASIC FILING FEE 1251 110 Extension for reply within second 1252 410 2252 205 Entity Small Entity Large Extension for reply within third month 465 Fee Description Fee Paid 1253 930 2253 Fee Extension for reply within fourth Code (\$) Code (\$) 725 1254 1,450 2254 1.970 2255 985 Extension for reply within fifth month 750 2001 375 Utility filing fee \$750.00 1255 1001 320 Notice of Appeal 1401 1002 330 2002 165 Design filing fee 2402 520 2003 260 Plant filing fee 1402 320 160 Filing a brief in support of an appeal 1003 2004 375 Reissue filing fee 1403 280 2403 140 Request for oral hearing Petition to institute a public use 1005 160 2005 80 Provisional filing fee 1451 1.510 1451 1,510 proceeding 2452 SUBTOTAL (1) (\$) 1452 110 55 Petition to revive - unavoidable 2453 Petition to revive - unintentional 1453 1,300 650 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,300 2501 650 Utility issue fee (or reissue) Extra Claims Fee from below Fee Paid х Design issue fee 470 2502 235 1502 Claims Independent Claims -0-Plant issue fee 1503 630 2503 315 Multiple 1460 130 1460 130 Petitions to the Commissioner Processing fee under 37 CFR 1.17(q) Entity Entity 1807 50 1807 50 Large Small

SUBTOTAL (3) (\$) \*Reduced by Basic Filing Fee Paid or number previously paid, if greater: For Reissues, SUBMITTED BY Complete (if applicable) 30,812 Telephone 952-563-3000 Name (Print/Type) Scott Q. Vidas Registration No. Autorney/Agent) 09/30/2003 Signature

1806

8021

1809

1810

1801

1802

180

40

750

750

750

900

1806

8021

2809

2801

1802

Other fee (specify)

180

40

VARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, OSF 10 to process an application. Commentation by government of the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Docket No.: O11.2B-11340-US01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

OHNO, Koji, et al.

Application No.:

Not Yet Assigned

Filed:

Concurrently Herewith

For:

POLISHING COMPOSITION

Examiner:

Not Yet Assigned

**Group Art Unit:** 

Not Yet Assigned

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## CONSTRUCTIVE PETITION FOR EXTENSION OF TIME AND FEE AUTHORIZATION PURSUANT TO 37 C.F.R. §1.136(a)(3)

Applicant hereby requests that the United States Patent and Trademark Office treat any concurrent or future reply requiring a petition for an extension of time pursuant to §1.136 for its timely submission as incorporating therein a petition for an extension of time for the appropriate length of time.

Applicant authorizes the Commissioner of Patents and Trademarks to charge all required extension of time fees that have not otherwise been paid to Deposit Account No. 22-0350.

Respectfully submitted, VIDAS, ARRETT & STEINKRAUS

Date: September 30, 2003

By:

Scott Q. Vidas, Reg. No. 30,812

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

Facsimile: (952) 563-3001